



## **CHARACTERIZATION OF DESIGN IMPACT LOADS FOR ROCK-FALL PROTECTION**

*Matthias Schubert*

ETH Zürich, Institute for Structural  
Engineering, Group Risk & Safety

*Michael H. Faber*

ETH Zürich, Institute for Structural  
Engineering, Group Risk & Safety



## Overview

- Introduction
- Modeling of the exposure
- Uncertainties in the rock-fall model
- Determination of impact design loads
- Conclusions

# Introduction

- Infrastructures and buildings in mountainous regions are exposed to gravitative natural hazards.
- These risks are addressed through a variety of protection measures.
- Significant costs associated with such measures – decisions should be made on a consistent scientific basis.
- A proper modeling of the processes, the performance of protection structure and the associated uncertainties is crucial.

# Detachment of a stone

# System Exposures

- The relevant parameter is the volume of a detached rock volume  $V$  or rock mass.
- Rock-fall is an uncertain process – impossible to predict the time and extend of the next event.
- The relevant rock-fall parameter can be described as a random variable  $V$ .
- Typically described by its annual exceedance frequency  $H_V(v) = E [ N (v) ]$ .

# Uncertainties in rock-fall exposure

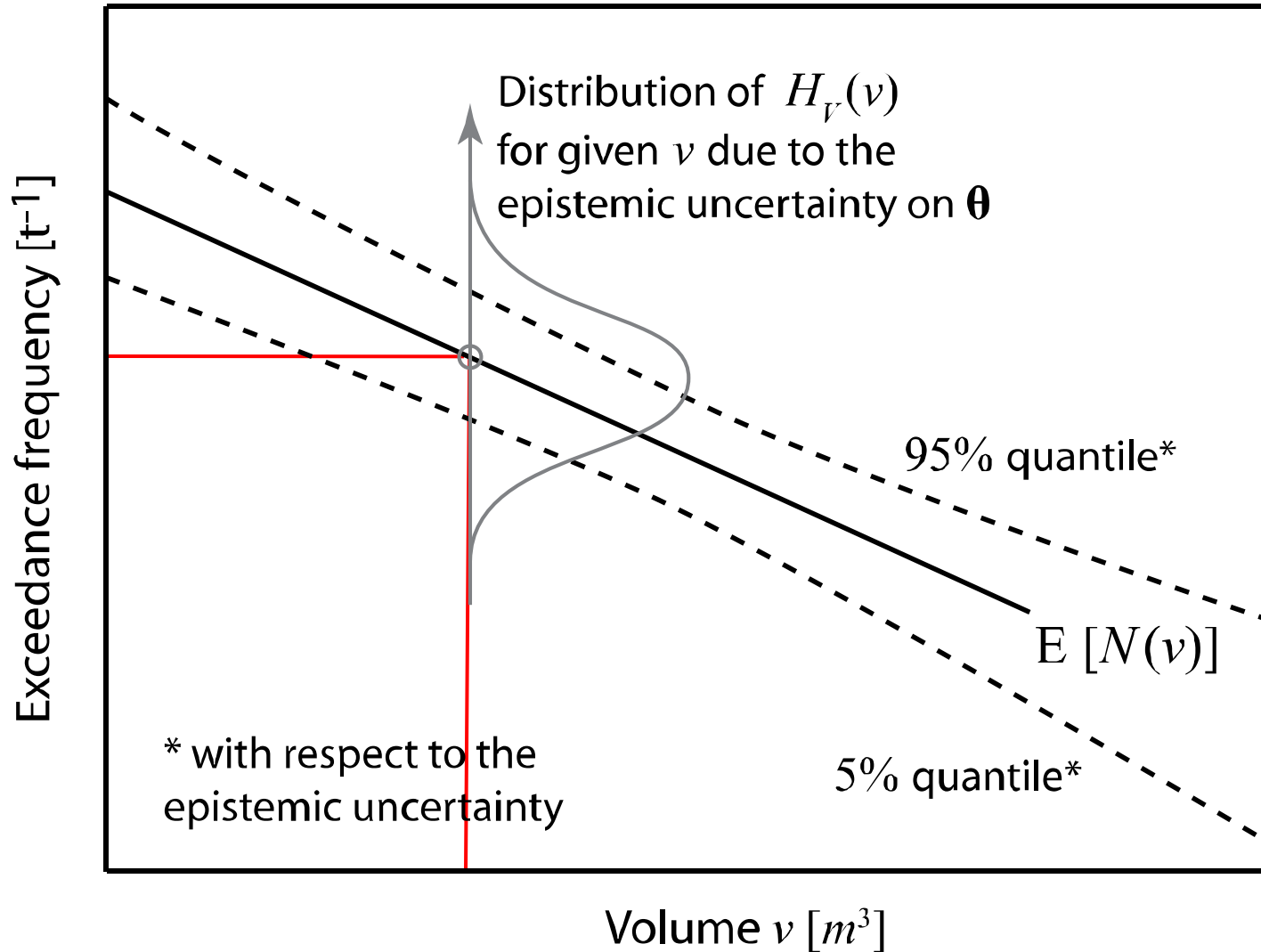
Useful to distinguish between:

- Aleatoric uncertainties (randomness)
- Epistemic uncertainties (knowledge)





# Uncertainties in rock-fall exposure



## Uncertainties in rock-fall exposure

- The exceedance frequency can be described by , e.g.:

$$H_V(v|\theta) = a v^{-b}$$

- Include the epistemic uncertainties by modeling the parameters  $(A,B)$  as a random vector  $\theta=[a,b]^T$

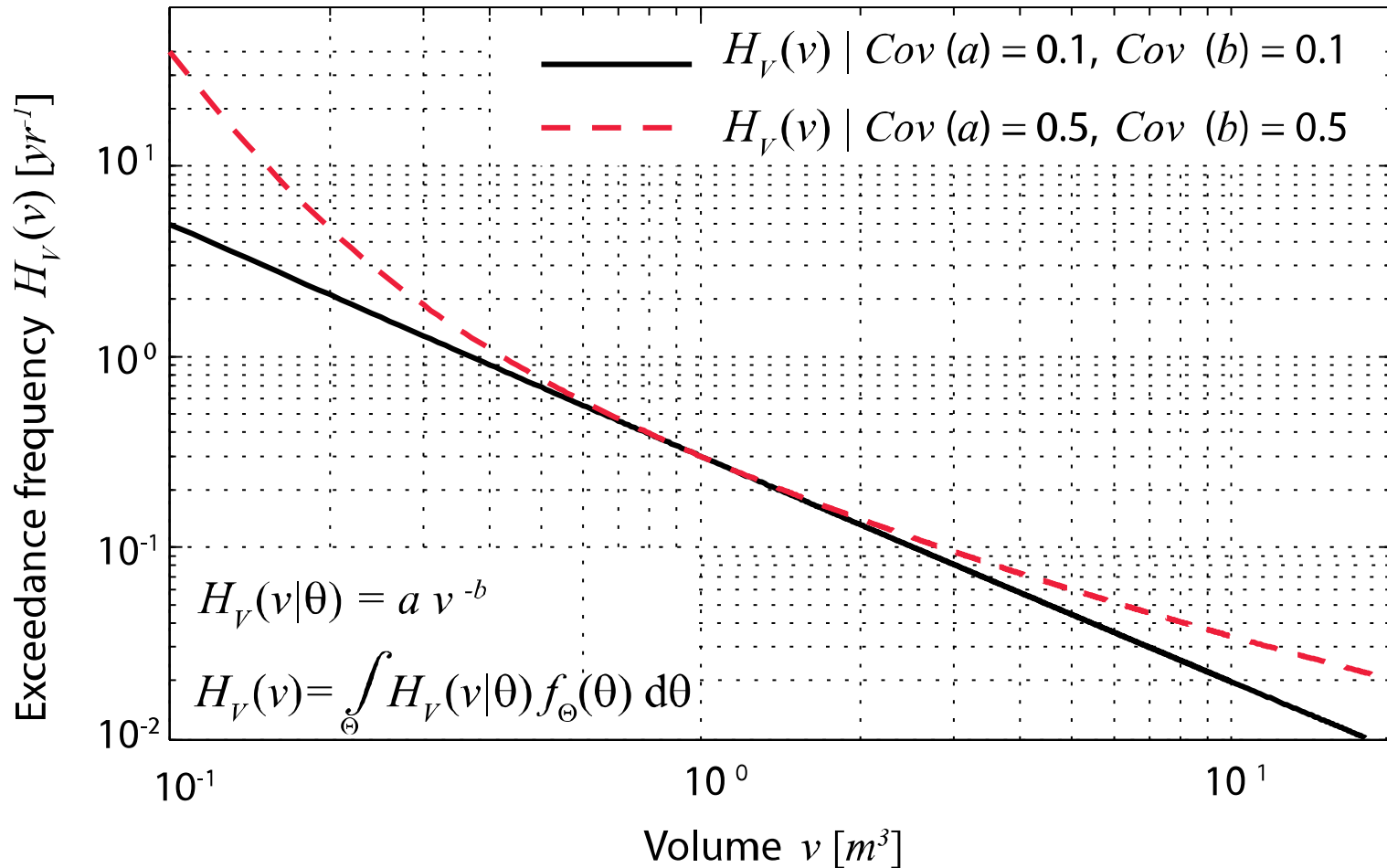
$$f_{\Theta}(\theta) \quad \Theta \sim LN(\mu_a, \mu_b, \sigma_a, \sigma_b, \rho_{a,b})$$

- The unconditional exceedance frequency can be calculated:

$$H_V(v) = \int_{\Theta} H_V(v|\theta) f_{\Theta}(\theta) d\theta$$



# Uncertainties in rock-fall exposure

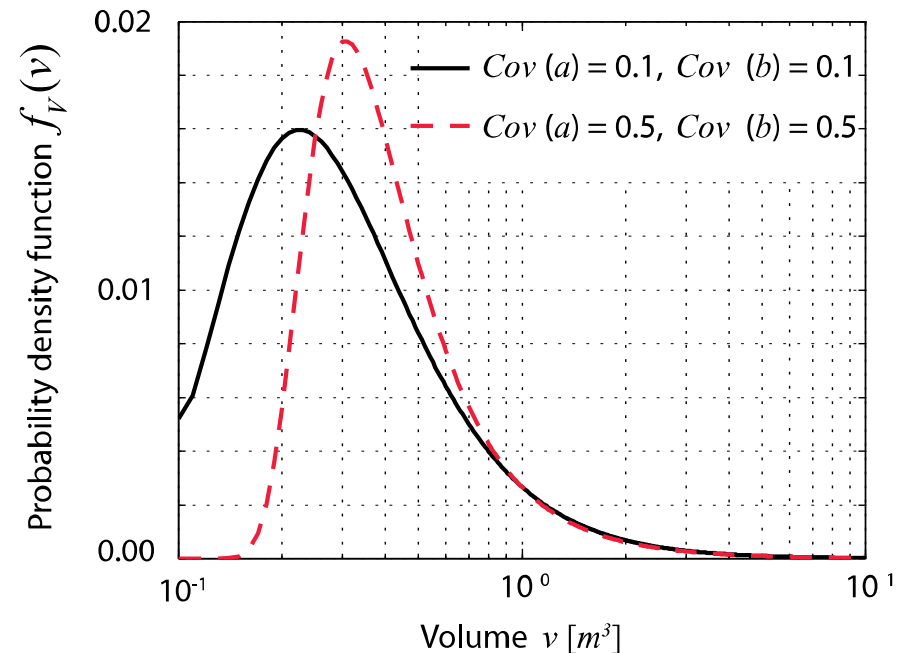


# Modeling of the rock-fall exposure

- For protection structures the maximum annual rock-fall event is of interest.
- Derivation of the distribution  $f_V(v)$  of the maximum annual rock-fall event from the exceedance frequency  $H_V(v)$ :

exceedance frequency

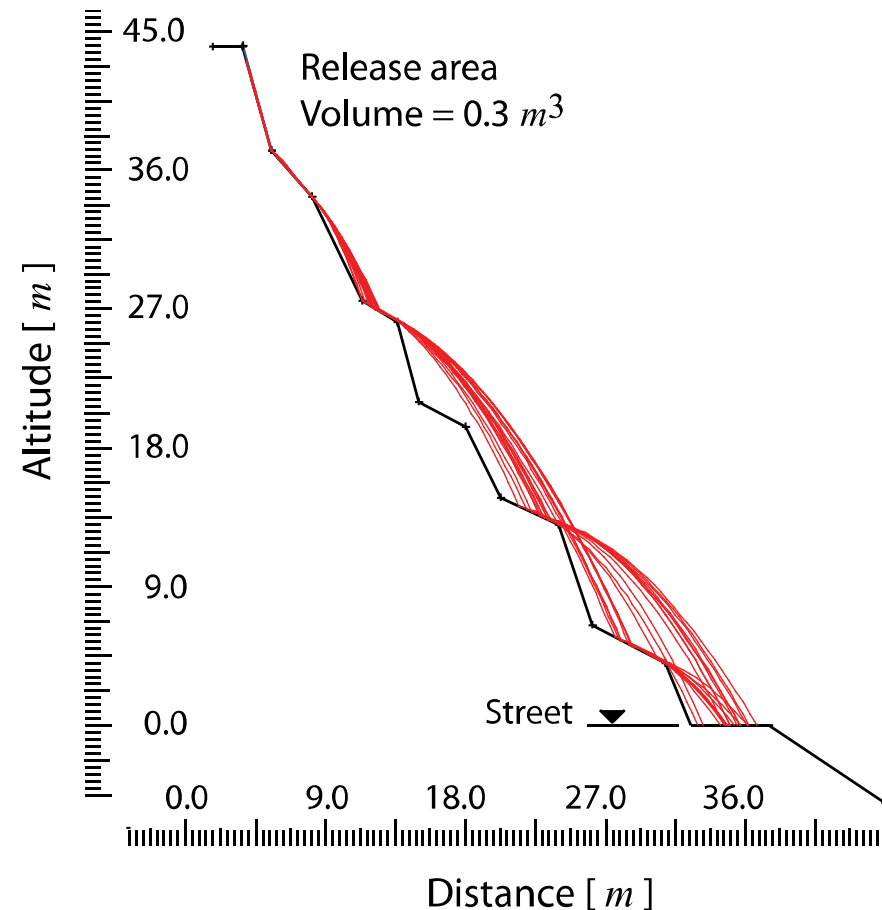
$$f_V(v) = \frac{d}{dv} (\exp(-H_V(v)))$$



# Falling process of a stone

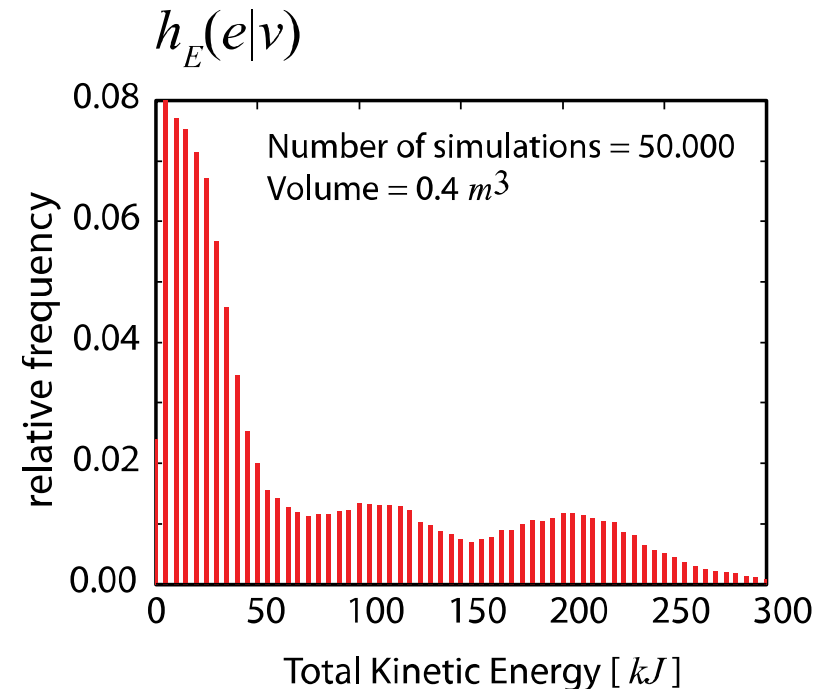
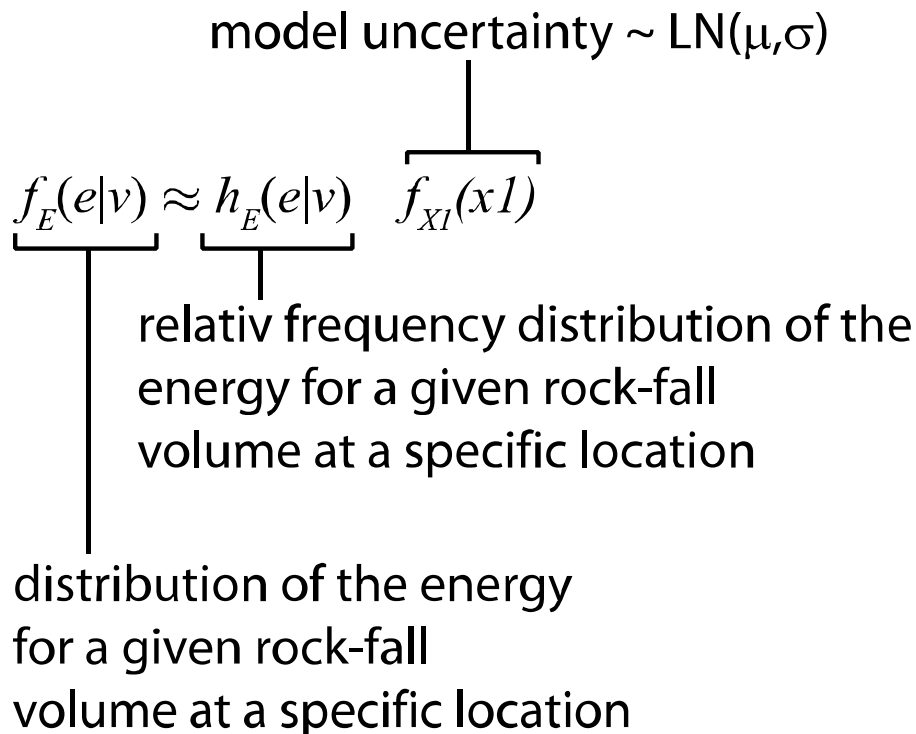
# Uncertainties in rock-fall trajectory

- Once a rock is released its trajectory is mainly determined by the topography, its mode of motion and its material characteristics.
- Aleatoric uncertainties in falling process.
- Trajectory models use Monte-Carlo-Simulations.



# Uncertainties in rock-fall trajectory

- Epistemic uncertainties in the trajectory analysis due to model assumptions (2D,3D, lumped mass assumption, impact model, simplification of the slope, etc.).

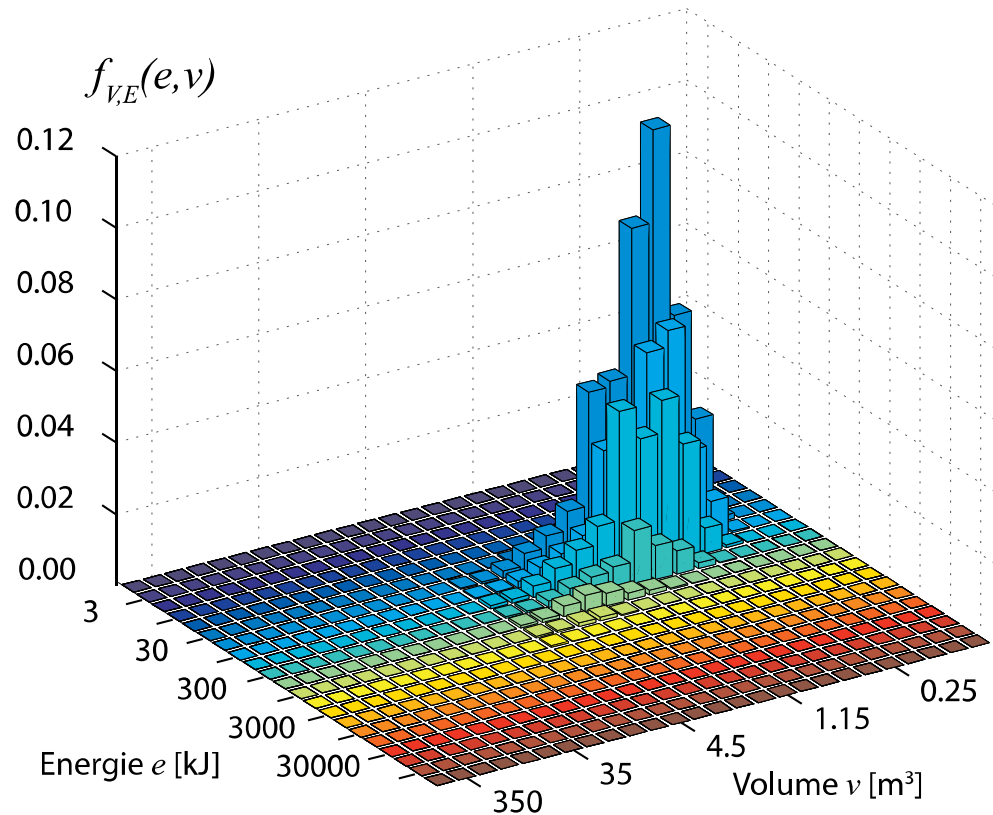


# Determination of the impact load

# Characterization of the load

- The joint distribution of the maximum annual energy (or velocity) and volume is then calculated by:

$$f_{V,E}(e,v) = \underbrace{f_E(e|v)}_{\text{result of the detachment model}} \underbrace{f_V(v)}_{\text{result of the trajectory model}}$$





# Resistance of the protection structure

# **System resistance: Failure probability of protection structures**

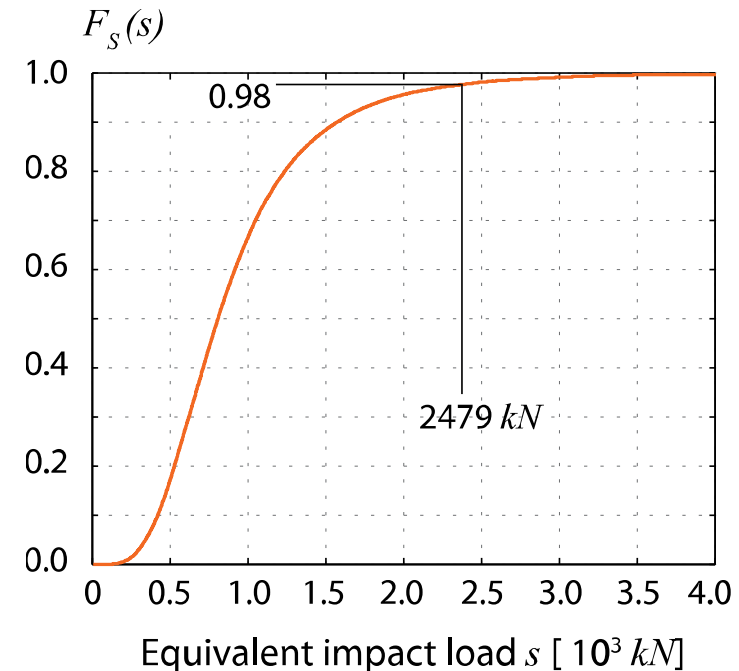
- How can a design load be determined?
  - Code based design
  - Reliability based approach, target reliability
  - Risk based approach

# System resistance: Code design of protection structures

e.g. code based design for a protection gallery:

$$S = X_2 C_k 2.8 c^{-0.5} (3V/(4\pi))^{7/30} M_E^{0.4} \tan(\varphi) E^{0.6}$$

$X_2$	$\sim \text{LN}(1.0,0.2)$	Model uncertainty
$C_k$	$\sim \text{N}(1.2,0.2)$	Constr. coefficient
$c$	$\sim \text{N}(0.75,0.15)$	layer thickness
$M_E$	$\sim \text{N}(30000,7000)$	Y-modulus soil
$\tan(\varphi)$	$\sim \text{N}(0.5773,0.05)$	friction angle
$V, E$	$\sim f_{V,E}(v, e)$	joint distribution of the energy and stone volume



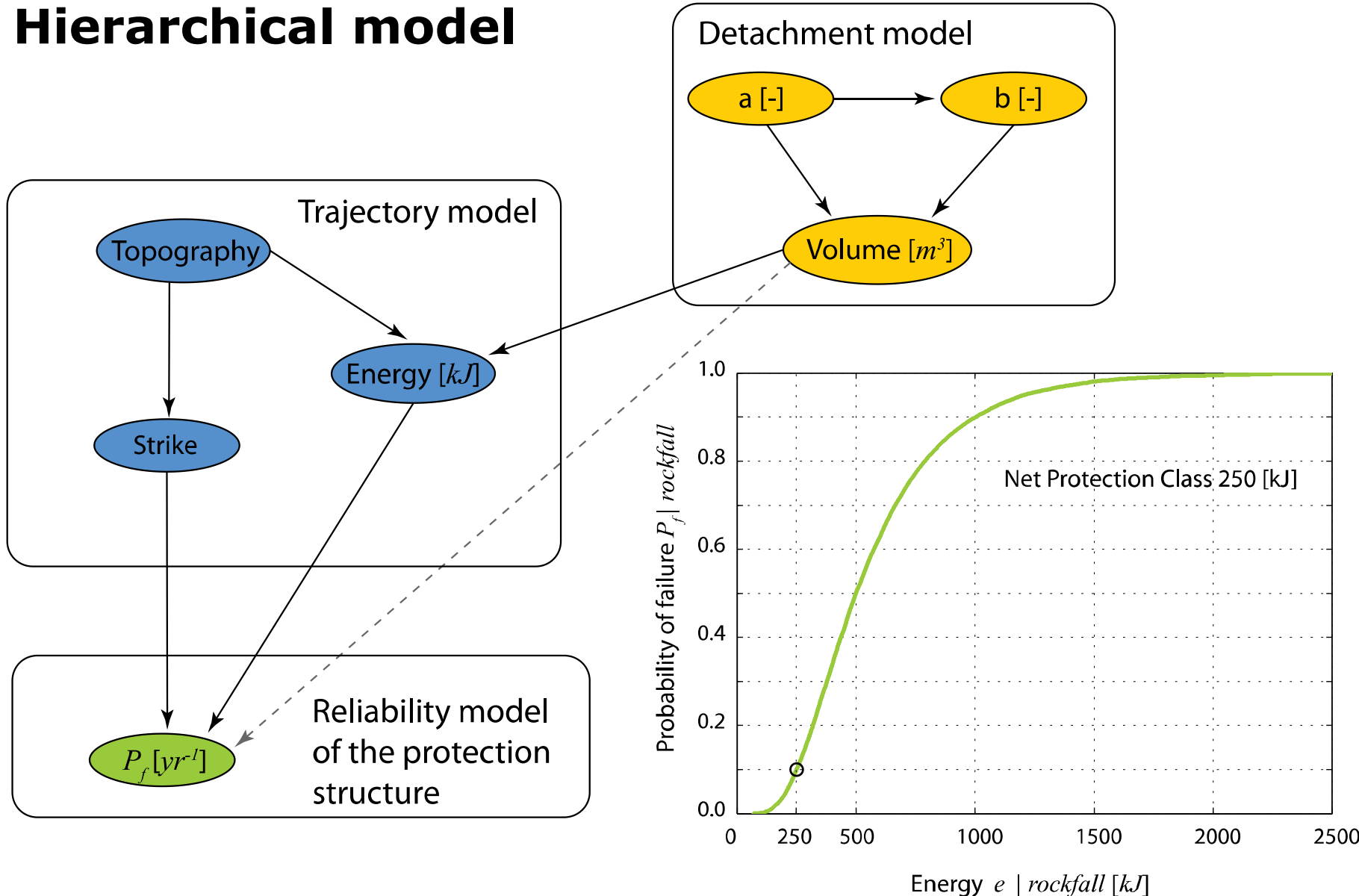
# System resistance: Failure probability of protection structures

Probability of failure of a protection structure can be calculated:

$$Pr(F) = \int_0^{\infty} \int_0^{\infty} Pr(F|e,v) f_E(e|v) f_V(v) de dv$$

- FORM, SORM, simulation techniques
- Stochastic FEM (response surface, sensitivity based approach)
- Development of vulnerability curves for pre-fabricated protection structures.

# Hierarchical model



# Conclusions

- Methodology for the assessment of design loads is presented
- Generic methodology: different models applicable; part wise exchangeability.
- Facilitates the design according to codes.
- Facilitates the risk assessment and a risk based design.



## **CHARACTERIZATION OF DESIGN IMPACT LOADS FOR ROCK-FALL PROTECTION**

*Thank you for your attention!*

Contact: Matthias Schubert  
[schubert@ibk.baug.ethz.ch](mailto:schubert@ibk.baug.ethz.ch)