Formulation of the displacement-based Finite Element Method and General Convergence Results



- $\vec{r}$ : before deformation  $\vec{r}$ : after deformation
- u : displacement

compatibility of the strain field:

$$\frac{\partial^{2} \mathcal{E}_{\lambda i}}{\partial x_{u}^{2}} + \frac{\partial^{2} \mathcal{E}_{u k}}{\partial x_{i}^{2}} - 2 \frac{\partial^{2} \mathcal{E}_{i k}}{\partial x_{i} \partial x_{u}} = O$$

$$\frac{\partial^{2} \mathcal{E}_{i k}}{\partial x_{u} \partial x_{u}} + \frac{\partial^{2} \mathcal{E}_{k l}}{\partial x_{i} \partial x_{u}} - \frac{\partial^{2} \mathcal{E}_{i i}}{\partial x_{u}^{2}} - \frac{\partial^{2} \mathcal{E}_{u k}}{\partial x_{i} \partial x_{u}} = O$$

# **Basics of Elasticity Theory**

strain  $\epsilon$ : measure of relative distortions ET=(Exx Eyy Ezz Vxy Vyz Vzx) for small displacements  $\vec{a} = \begin{pmatrix} a \\ v \\ w \end{pmatrix}$ :  $\mathcal{E}_{xx} = \frac{\partial U}{\partial x}, \quad \mathcal{E}_{yy} = \frac{\partial V}{\partial y}, \quad \mathcal{E}_{zz} = \frac{\partial W}{\partial z}$  $\delta_{xy} = \frac{\partial U}{\partial y} + \frac{\partial V}{\partial x}$ ,  $\gamma_{yz} = \frac{\partial V}{\partial z} + \frac{\partial W}{\partial y}$  $\chi_{zx} = \frac{\partial W}{\partial x} + \frac{\partial U}{\partial z}$ 



## **Basics of Elasticity Theory**



#### The principle of virtual displacements

for any  $\overline{U}$  that obey the b.c. and the true equilibrium stress field:

internal virtual work

virtual work by external forces





implicitly contains:

- force boundary conditions
- direct stiffness method (in FEM formulation)

#### FEM: domain discretization



global, nodal displacements:  $\hat{\mathbf{U}}^{T} = [U_{1} V_{1} W_{1} \quad U_{2} V_{2} W_{2} \quad \dots \quad U_{N} V_{N} W_{N}]$ interpolation matrix  $\mathbf{H}^{(m)}$ :  $\mathbf{u}^{(m)}(x, y, z) = \mathbf{H}^{(m)}(x, y, z) \hat{\mathbf{U}}$ strain-displacement matrix  $\mathbf{B}^{(m)}$ :  $\mathbf{\epsilon}^{(m)}(x, y, z) = \mathbf{B}^{(m)}(x, y, z) \hat{\mathbf{U}}$ it holds:  $\mathbf{\tau}^{(m)} = \mathbf{C}^{(m)} \mathbf{\epsilon}^{(m)} + \mathbf{\tau}^{I(m)}$ 

principle of virtual displacements for the discretized body:

solid decomposed into assemly of finite elements: no overlap no holes nodal points coincide

$$\sum_{m} \int_{V^{(m)}} \overline{\boldsymbol{\epsilon}}^{(m)T} \, \boldsymbol{\tau}^{(m)} \, dV^{(m)} = \sum_{m} \int_{V^{(m)}} \overline{\mathbf{u}}^{(m)T} \mathbf{f}^{B(m)} \, dV^{(m)}$$
$$+ \sum_{m} \int_{S_1^{(m)}, \dots, S_q^{(m)}} \overline{\mathbf{u}}^{S(m)T} \mathbf{f}^{S(m)} \, dS^{(m)} + \sum_{i} \overline{\mathbf{u}}^{iT} \mathbf{R}_C^i$$

### FEM: matrix equations



with  $\mathbf{U} = \hat{\mathbf{U}}$  one obtains:  $\mathbf{KU} = \mathbf{R}$ , where  $\mathbf{R} = \mathbf{R}_B + \mathbf{R}_S - \mathbf{R}_I + \mathbf{R}_C$ 



#### FEM: matrix equations

dynamic case with dissipative forces ~ dU/dt:

modified body forces: 
$$\mathbf{R}_{B} = \sum_{m} \int_{V^{(m)}} \mathbf{H}^{(m)T} [\mathbf{f}^{B(m)} - \rho^{(m)} \mathbf{H}^{(m)} \ddot{\mathbf{U}} - \kappa^{(m)} \mathbf{H}^{(m)} \dot{\mathbf{U}}] dV^{(m)}$$
  
inertial forces damping forces  
$$\mathbf{C} = \sum_{m} \int_{V^{(m)}} \kappa^{(m)} \mathbf{H}^{(m)T} \mathbf{H}^{(m)} dV^{(m)}$$
$$= \mathbf{C}^{(m)}$$
$$\mathbf{M} = \sum_{m} \int_{V^{(m)}} \rho^{(m)} \mathbf{H}^{(m)T} \mathbf{H}^{(m)} dV^{(m)}$$
$$= \mathbf{M}^{(m)}$$
$$\longrightarrow \mathbf{M}\ddot{\mathbf{U}} + \mathbf{C}\dot{\mathbf{U}} + \mathbf{K}\mathbf{U} = \mathbf{R}$$
$$\boldsymbol{\epsilon}^{(m)}(x, y, z) = \mathbf{B}^{(m)}(x, y, z)\hat{\mathbf{U}}$$
$$\mathbf{u}^{(m)}(x, y, z) = \mathbf{H}^{(m)}(x, y, z)\hat{\mathbf{U}}$$
$$\mathbf{w}^{(m)}(x, y, z) = \mathbf{H}^{(m)}(x, y, z)\hat{\mathbf{U}}$$
$$\longleftarrow \mathbf{K} \text{ and } \mathbf{M} \text{ are symmetric}$$

#### FEM: Two-element bar assemblage



## FEM: Two-element bar assemblage



(b) Element assemblage in global system

$$E_{A}^{(m)}(x) = \begin{bmatrix} B_{A}^{(m)}(x) & B_{2}^{(m)}(x) & B_{3}^{(m)}(x) \end{bmatrix} \begin{bmatrix} u_{4} \\ u_{2} \\ u_{3} \end{bmatrix}$$

$$= B_{A}^{(m)}(x)U_{4} + B_{2}^{(m)}(x)U_{2} + B_{3}^{(m)}(x)U_{3}$$

$$m = 1: \quad \sum_{\alpha} (\alpha)(x) = \frac{d}{dx} U^{(\alpha)}(x) = -\frac{1}{100} U_{4} + \frac{1}{100} U_{2}$$

$$\implies B_{\alpha}^{(n)}(x) = \begin{bmatrix} -\frac{1}{100} & \frac{1}{100} & 0 \end{bmatrix}$$

coloulate  $P^{(1)}(x)$  for all (x)

analogous:

material matrix:

$$\mathbf{H}^{(2)} = \begin{bmatrix} 0 & \left(1 - \frac{x}{80}\right) & \frac{x}{80} \end{bmatrix}$$
$$\mathbf{B}^{(2)} = \begin{bmatrix} 0 & -\frac{1}{80} & \frac{1}{80} \end{bmatrix}$$

$$C^{(1)} = E;$$
  $C^{(2)} = E$ 

#### FEM: Two-element bar assemblage

slow load application: 
$$\mathbf{KU} = \mathbf{R}$$
,  $\mathbf{R} = \mathbf{R}_{B} + \mathbf{R}_{S} - \mathbf{R}_{I} + \mathbf{R}_{C}$   

$$\mathbf{K} = \sum_{m} \int_{V^{(m)}} \mathbf{B}^{(m)T} \mathbf{C}^{(m)} \mathbf{B}^{(m)} dV^{(m)} = \mathbf{K}^{(m)}$$

$$= \mathbf{K}^{(m)}$$

$$\langle = \int_{0}^{\sqrt{0}} \int_{0}^{\sqrt{1}} \int_{0}$$

obtain U(t<sup>\*</sup>) by solving:  $\mathbf{KU}|_{t=t^*} = \mathbf{R}_B|_{t=t^*} + \mathbf{R}_C|_{t=t^*}$ 

#### FEM: Exact stiffness vs. FEM approx.



displacement ansatz too rigid — overestimate of stiffness

#### FEM: Displacement constraints

decompose U =  $\begin{bmatrix} \mathbf{U}_a \\ \mathbf{U}_b \end{bmatrix}$ 

- U<sub>a</sub> : unconstrained
- U<sub>b</sub> : constrained

FEM equations:  

$$\begin{bmatrix} \mathbf{M}_{aa} & \mathbf{M}_{ab} \\ \mathbf{M}_{ba} & \mathbf{M}_{bb} \end{bmatrix} \begin{bmatrix} \ddot{\mathbf{U}}_{a} \\ \ddot{\mathbf{U}}_{b} \end{bmatrix} + \begin{bmatrix} \mathbf{K}_{aa} & \mathbf{K}_{ab} \\ \mathbf{K}_{ba} & \mathbf{K}_{bb} \end{bmatrix} \begin{bmatrix} \mathbf{U}_{a} \\ \mathbf{U}_{b} \end{bmatrix} = \begin{bmatrix} \mathbf{R}_{a} \\ \mathbf{R}_{b} \end{bmatrix}$$

$$\mathbf{M}_{aa} \ddot{\mathbf{U}}_{a} + \mathbf{K}_{aa} \mathbf{U}_{a} = \mathbf{R}_{a} - \mathbf{K}_{ab} \mathbf{U}_{b} - \mathbf{M}_{ab} \ddot{\mathbf{U}}_{b}$$
modified force vector

if constraints don't coincide with  $U_{b}$ , try:  $\mathbf{U} = \mathbf{T}\overline{\mathbf{U}}$  where  $\overline{U}_{b}$  can be constrained



#### Refining the FEM solution



## Convergence of a FEM solution

$$\int_{V} \bar{\boldsymbol{\epsilon}}^{T} \boldsymbol{\tau} \, dV = \int_{S_{f}} \bar{\mathbf{u}}^{S_{f}} \mathbf{f}^{S_{f}} \, dS + \int_{V} \bar{\mathbf{u}}^{T} \mathbf{f}^{B} \, dV \quad \boldsymbol{\longleftarrow} \quad \boldsymbol{a}(\mathbf{u}, \mathbf{v}) = (\mathbf{f}, \mathbf{v})$$

strain energy *a*(**u**, **v**) pos. def. bilinear form

Convergence in the norm induced by a:

 $a(\mathbf{u}_h, \mathbf{u}_h) \rightarrow a(\mathbf{u}, \mathbf{u})$  as  $h \rightarrow 0$  measures discretization and interpolation errors, only

monotonic convergence:



## mon. Conv.: Compatibility



## mon. Conv.: Completeness

Completeness:

element must be able to represent all rigid-body modes and states of constant strain Number of rigid-body modes:

 $\mathbf{K}\boldsymbol{\phi} = \lambda \boldsymbol{\phi}$ ► λ<sub>1</sub>,...λ<sub>n</sub>

#rbm = dim(Ker(K))

Reason:



## **Convergence** rates

#### Assumptions: Lack of slower smoothness interpolation w. complete polynomials convergence up to order k Constant term: 1 4 terms non-uniform grid: 10 terms Linear terms: 3. 20 terms 35 terms $\|\mathbf{u} - \mathbf{u}_h\|_1^2 \le c \sum h_m^{2k} \|\mathbf{u}\|_{k+1,m}^2$ Quadratic terms: 6 Cubic terms: 10 h refinement: Quartic terms: 15 $\|\mathbf{u}-\mathbf{u}_h\|_1 \leq \frac{c}{(N)^{k/d}}$ Figure 3.3. Pascal pyramid of monomials (three-dimensional case). exact solution is smooth enough, such that Sobolev-Norm of order k+1 is finite: $\|\mathbf{u}\|_{k+1} = \left\{ \int_{U_{i}} \left[ \sum_{i=1}^{3} (u_{i})^{2} + \sum_{i=1}^{3} \sum_{i=1}^{3} \left( \frac{\partial u_{i}}{\partial x_{i}} \right)^{2} \right] \right\}$ h/p refinement: $+\sum_{n=2}^{k+1}\sum_{i=1}^{3}\sum_{a,b,c,i=n}\left(\frac{\partial^{n}u_{i}}{\partial x_{i}^{t}\partial x_{i}^{t}\partial x_{i}^{t}}\right)^{2} d\operatorname{Vol}^{\frac{1}{2}} < \infty$ $\|\mathbf{u} - \mathbf{u}_{h}\|_{1} \leq \frac{c}{\exp\left[\beta(N)^{\gamma}\right]}$ uniform mesh Estimate (p-refinement): Def.: (Sobolev-Norm of order 0) $\|\mathbf{u} - \mathbf{u}_{h}\|_{0} \leq c h^{k+1} \|\mathbf{u}\|_{k+1}$ $(\|\mathbf{v}\|_{0})^{2} = \int_{U_{i}} \left(\sum_{i=1}^{3} (v_{i})^{2}\right) d \operatorname{Vol}$

#### Error assessment

FEM solution violates differential equilibrium:

マ・ティイ =0



Thank you for your attention!